

AXA Australia Investment Funds Application Form

Equity Funds

Australian Equity – Growth Fund

Australian Equity – Industrials Fund

Australian Equity – Value Fund

Global Equity – Growth Fund

Global Equity – Healthcare Fund

Global Equity – Technology Fund

Global Equity – Value Fund

US Equity – Premier Growth Fund

These funds are closed to new investors.

Current unitholders may make additional investments using this form.





Notes to the Application form

1 Applicant Details and Signature

Individuals and joint applicants

Applications must be signed personally or under a power of attorney. A certified copy of the power of attorney (including confirmation from the attorney that it has not been revoked) must be provided.

For joint applicants, all correspondence regarding the investment will be sent to the first named applicant.

Joint applicants are treated as joint tenants. This means that if one of the joint applicants dies, only the other joint applicants will be recognised as having any claim to the investment.

Applications by trustees on behalf of a partnership, superannuation fund, other trust or person under the age of 18 must be made in the name of the trustee and signed by the trustee. Only the trustee will be recognised as having any claim to the investment.

We cannot knowingly accept an application from a person under the age of 18.

Corporates

A corporate entity must sign the application form in one of the following ways:

- 2 directors; or
- 1 director and 1 secretary; or
- the sole director who is also the sole secretary; or
- otherwise in accordance with its constitution (and in this case a certified copy of the relevant sections of the constitution must be provided).

2 Payment

Payment can be made by cheque or direct debit from a nominated Australian bank or financial institution account (in which case you must complete section 8 of the application form).

If you wish to pay by cheque, it must be:

- made payable to 'AXA Australia NMF – Investment Funds'; and
- crossed 'Not Negotiable'.

All applications must be denominated in Australian dollars.

We cannot accept cash deposits.

3 Tax File Number

If you are an Australian resident you can choose whether or not to quote your Tax File Number (TFN) on the application form. If you do not quote your TFN or do not claim an exemption from quoting your TFN, the taxation laws require us to withhold tax from distributions paid to you and that tax be paid at the maximum marginal rate (plus Medicare Levy).

Collection, use and disclosure of this information is authorised and strictly regulated by the tax laws and the Privacy Act.

If you are providing TFN information, it must be provided by the holder of the TFN or a person authorised to do so. Joint applicants must provide the TFNs of at least two applicants. If the applicant is a company, the company's TFN must be provided. Trustees must quote the TFN of the relevant trust.

We recommend that non-resident investors seek taxation advice.

Further information about the TFN requirements can be obtained from the Australian Taxation Office.

4 Delivery

Please send your completed application form and cheque (if applicable) to us at:

AXA Australia
Customer Service Centre
PO Box 14330
Melbourne VIC 8001



Please print in capital letters and use a black pen.

This application form relates to the AXA Australia Investment Funds that are listed on the front cover of this document and offered under a single Product Disclosure Statement (PDS). The PDS contains important information about investing in the funds. Please read the PDS before applying for units in a fund. If you give another person access to this application form you must at the same time and by the same means give the other person access to the PDS and any supplementary documents. We will send you paper copies of the PDS, any supplementary documents and the application form on request and free of charge.

To avoid duplication in processing this application, please advise if this form has previously been faxed to AXA.

Yes No If yes, on what date was the form faxed?

Office use only
Branch: _____
Date: _____ Time:-_____
Accepted by: _____
New Investor No: _____

Section 1 Applicant details

Investor number:

Type of application: Individual Joint Corporate Trust (including superannuation) Adult for a child under 18

Investor 1 (individuals, joint investors, individual trustees or adults for children under 18)

Mr Mrs Miss Ms Other please specify Male Female
Date of birth (DD/MM/YY)

Surname (please print) Given name(s)

Tax file number or TFN exemption reason

Postal address

Street number and name Town/Suburb State Postcode

Residential address (if different from above)

Street number and name Town/Suburb State Postcode

Telephone home Work Mobile

Fax Email Country of residence (non-residents only)

Annual accounts

Please tick the following box if you **do not** wish to receive annual accounts:

Investor 2 (joint investors, individual trustees, details of child under 18)

Mr Mrs Miss Ms Other please specify Male Female
Date of birth (DD/MM/YY)

Surname (please print) Given name(s)

Tax file number or TFN exemption reason

Postal address

Street number and name Town/Suburb State Postcode

Residential address (if different from above)

Street number and name Town/Suburb State Postcode

Telephone home Work Mobile

Fax Email Country of residence (non-residents only)

Corporates (companies, corporate trustees, associations or others)

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Name of company/trustee/association/other

ABN

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Tax file number

or TFN exemption reason

Postal address

--	--	--	--

Street number and name

Town/Suburb

State

Postcode

Registered office

--	--	--	--

Street number and name

Town/Suburb

State

Postcode

Contact person

Mr
 Mrs
 Miss
 Ms
 Other please specify

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Surname (please print)

Given name(s)

Position

()	()	
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Telephone

Fax

Email

Annual accounts

Please tick the following box if you **do not** wish to receive annual accounts:

Section 2 Fee option

Your current fee option will apply. If you wish to choose a different fee option we will issue you with a new investor number.

Please select your fee option: **Up-front entry fee** **Nil up-front entry fee**

Section 3 Investment amounts and payment methods

		Initial Investments		Regular Savings Plan (see note 3)	Fixed Payment Plan (see note 4)
		Cheque (see note 1)	Direct Debit (see note 2)		
AXA Australia Growth Funds					
Australian Equity – Growth Fund	401	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>
Australian Equity – Industrials Fund	400	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>
Australian Equity – Value Fund	434	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>
Global Equity – Growth Fund	410	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>
Global Equity – Healthcare Fund	413	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>
Global Equity – Technology Fund	411	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>
Global Equity – Value Fund	425	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>
US Equity – Premier Growth Fund	412	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>
TOTALS		\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>	\$ <input style="width: 80px;" type="text"/>

Notes

- 1 Cheques should be made payable to 'AXA Australia NMF – Investment Funds' and crossed 'Not Negotiable'. The minimum additional investment amount is \$1,000.
- 2 Please also complete section 8.
- 3 Please also complete section 4. The minimum additional payment amount is \$100 per fortnight or month.
- 4 Please also complete section 4. The minimum payment amount is \$100 per month or quarter.

Section 4 Regular savings plan and fixed payment plan

1 Please select your payment frequency for your **Regular Savings Plan**:

fortnightly, starting on

OR monthly, on the day of each month

(*Please select a day between the 1st and 28th inclusive. If you do not choose a date the payment will be deducted on the 15th of each month)

You must also complete section 8 to nominate an account held at an Australian bank or financial institution.

2 Please select your payment frequency for your **Fixed Payment Plan**:

monthly, starting on

OR quarterly, starting on

You must also complete section 8 to nominate an account held at an Australian bank or financial institution.

You cannot use Fixed Payment Plan if you have a mortgage facility on your investment in the relevant fund.

If you do not choose a date the payment will be made on the 15th of each month.

Section 5 Distributions

Distributions are to be (please tick one box):

reinvested in the fund

invested into another AXA Australia Investment Fund: (Please print) Fund

(you must, at the time of a distribution, already be a unitholder in the nominated fund under the **same investor number** and have selected the Easy Invest facility)

paid to a nominated Australian bank or financial institution account
(you must also provide account details in section 8)

Unless you advise us otherwise, distributions will be automatically reinvested and additional units issued.

Section 6 Options

Easy Invest

Yes No

→ **If you would like to make additional investments by direct debit from a nominated Australian bank or financial institution account you must also complete section 8.**

Easy Withdrawal

Yes No

→ **You must also complete section 8 to nominate an Australian bank or financial institution account.**
Easy Withdrawal is not available where 'All applicants to sign' has been selected in section 10.
You cannot use Easy Withdrawal if you have a mortgage facility on your investment in the relevant fund.

Adviser Review Fee

Yes No

→ If you would like to make additional payments to your financial adviser directly from your Investment Fund.

p.a. (max 1.0% p.a. of your Investor Account Balance paid quarterly) or;

% p.a. (max 1.0% p.a. of your Investor Account Balance paid quarterly)

start date (if no date nominated the payment will be deducted on your quarterly anniversary date)

Deductions for the payment should be taken from my Fund

(If no fund is nominated the payment will be taken from your Investment Fund with the greatest fund balance at the time the payment is deducted.)

Section 7 Lender's interest

Enter details of a lender as the mortgagee of your units (these details will be included on the register).

Lender's name (please print)

Lender's postal address

Street number and name

Town/Suburb

State

Postcode

Contact person (if known)

Mr Mrs Miss Ms Other please specify

Surname (please print)

Given name(s)

Position

Telephone

Fax

Email

Section 8 Account details**Account for direct debits (Easy Invest, Regular Savings Plan)**

Name of bank/financial institution

Bank/Institution branch name

Account name

BSB number

Account number (ATM or credit card numbers are not acceptable)

Direct debit account holder authority and details

I/We

Given name(s) or company name

Surname or ABN

authorise National Mutual Funds Management Ltd. (ID 052 948), until further notice in writing, to debit the account described above, any amount which it may debit or charge through the direct debit system.

Account holder signature*

Date

Account holder signature*

Date

*if joint account all signatures may be required.

Note: Please also refer to the direct debit request service agreement at the end of this form. Direct debiting is not available on the full range of accounts or may not be offered by some financial institutions. If in doubt please refer to your financial institution.

The financial institution may in its absolute discretion charge a fee for this service. In that event, AXA Australia must pass this fee on to the investor.

Account for Easy Withdrawals, Fixed Payment Plan and distributions (including to a lender)

If this account is to be the same as for direct debits, please tick this box:

Name of bank/financial institution

Bank/Institution branch name

Account name

BSB number

Account number (ATM or credit card numbers are not acceptable)

Section 9 Servicing financial adviser details

Transfer servicing financial adviser

Yes No

If you would like to transfer servicing of existing investments in AXA Australia's Investment Funds to the financial adviser listed under the section 'Financial Adviser Use Only'. AXA Australia will only pay trail brokerage to the servicing financial adviser.

Section 10 Applicant declaration and signature

- 1 I represent and warrant that in relation to each fund in which I am applying for units I have received the current Product Disclosure Statement (electronically or otherwise).
- 2 I acknowledge and agree that in relation to each fund in which I am applying for units:
 - (a) this application and any additional application is made subject to the fund's constitution as amended from time to time;
 - (b) this application and any additional application is made subject to the terms and conditions of the current Product Disclosure Statement and application form. I agree to be bound by the terms and conditions of that Product Disclosure Statement and application form;
 - (c) if my application is not accepted in full, I will accept the number of units issued to me;
 - (d) to the maximum extent permitted by law I release, discharge and indemnify the responsible entity from and against all actions, proceedings, accounts, costs, expenses, charges, liabilities, claims and demands arising directly or indirectly as a result of the use of the facilities and options offered; and
 - (e) if any action is taken or payment is made in accordance with the conditions of any facility or option offered, and that action or payment is later found not to have been authorised by me or my authorised representative, neither I nor anyone claiming through me can claim against the responsible entity.
- 3 I agree:
 - (a) to meet any bank fees and charges incurred as a result of transactions in connection with my investments; and
 - (b) to my personal details being provided to my financial adviser and their agents.
- 4 Privacy (applicable to personal investors only)
I have read and understood the Privacy Disclosure Statement contained in the Product Disclosure Statement. I acknowledge that I can opt not to have my information used for the purpose of direct marketing by telephoning 131 737.

Applicants should refer to the 'Notes to the Application Form' for instructions about how to sign.

Individual and joint applicants (applicant or attorney)

	/ /
Signature	Date
	/ /
Signature	Date

Account operating instructions for joint applicants:

Any applicant to sign All applicants to sign

Corporates

This document was executed by the applicant in accordance with its constitution and the Corporations Act:

	/ /
Signature	Date
Print name	Office held (eg. director/secretary/attorney)
	/ /
Signature	Date
Print name	Office held (eg. director/secretary/attorney)

Affix company seal if applicable

Direct debit request service agreement

The following outlines our and your responsibilities to ensure the smooth and secure operation of our direct debit agreement.

Our responsibilities

- We will only deduct amounts from the chosen account.
- We will not disclose the bank details to anyone else, unless the account holder has agreed in writing that we can, or unless the law requires or allows us to do this.
- If the payment date is a weekend or public holiday, we will debit the account on the next Melbourne business day following the weekend or public holiday.
- We will give at least 14 days notice when changes to the initial terms of this arrangement are made.

Your responsibilities

- Before providing the account details, please check with the bank or financial institution that direct debit deductions are allowed on the account chosen.
- Please make sure that there is enough money in the account to cover deductions when due. The bank or financial institution may charge a fee if a payment cannot be met.

Changing the payment details

- You may cancel or change direct debit deductions at any time by writing to the AXA Australia Customer Service Centre.

Can we help?

- If you have any queries about your direct debit agreement please contact the AXA Australia Customer Service Centre on 131 737 or your financial adviser.
- We undertake to respond to queries concerning disputed transactions within five business days of notification.

Financial Adviser use only

Remuneration details

Do you want to rebate all or part of the brokerage to which you are entitled? Yes No

If yes, select the percentage for each application to be rebated:

Upfront

% Initial and additional investments

% Regular Savings Plans

Trail

% Total account balance

The rebated trail brokerage should be paid into the Fund. If no Fund is nominated, any rebated trail brokerage will be paid into your clients Fund with the greatest account balance. **(Your client must, at the time the rebate is paid, be a unitholder in that Fund under the same investor number and have selected the Easy Invest facility.)**

Dealer stamp

Please indicate below the amount of brokerage to be divided between the servicing financial adviser and the secondary financial adviser (if any).

	Adviser account no.(s)	% of initial brokerage to receive	% of trail brokerage to receive
<input type="text"/> Servicing financial adviser name	<input type="text"/>	<input type="text"/> %	<input type="text"/> %
<input type="text"/> Secondary financial adviser name	<input type="text"/>	<input type="text"/> %	<input type="text"/> %

If the client has existing investments in any of AXA Australia's Investment Funds placed under a different financial adviser, and servicing rights are not transferred (Section 9), the previous financial adviser will receive all trail brokerage for any current investments, this investment and any future investments.

100% **100%**

For payments to three or more financial advisers please notify us in writing and send together with this application form.

InvestmentLink Information

ILCN

ILAN

ILGN