



For the member to complete and sign.

- This form enables you to rollover/transfer between all AXA superannuation, rollover and retirement income funds.
- Please ensure you are aware of any exit fees or any restrictions on rolling over or transferring your benefit between AXA funds.

Please complete sections 1, 2, 3 (if applicable) and 6 in black pen only using BLOCK letters.

1 Member details

Mr Mrs Miss Ms Other (please specify)

Surname (please print) Given name(s) Date of birth

Postal address

Street number and name Town/Suburb State Postcode

Home telephone Work telephone Facsimile

Mobile Email address

2 Fund details

Full rollover of (approximate amount): \$ OR partial rollover of: \$
 (only available on specific products, please check with your financial adviser)

FROM

'Old Fund' policy/plan and member number 'Old Fund' product name

TO

New policy/plan Addition

'New Fund' policy/plan and member number (where known) 'New Fund' product name

3 Personal contributions – tax deduction

Only complete this section if you are intending to claim a tax deduction for personal contributions you have made to the Old Fund. Please be aware that if you choose not to claim a deduction now, a tax deduction for these contributions cannot be claimed after you have left the Old Fund.

Notice to claim a tax deduction for a personal contribution.

I advise that I am a member of the Old Fund nominated above. I am eligible to claim a tax deduction because I do not have an employer who is obliged to contribute to superannuation on my behalf, or am substantially self-employed (less than 10 per cent of my income is earned as an employee).

I intend to claim \$ of my total contributions as a tax deduction.

I acknowledge that the balance (if any) of my own contributions is not tax deductible and will not be subject to contributions tax.

This notice is in relation to contributions made in the year ending 30 June (enter the current financial year).

If you are not sure whether you are eligible to claim a deduction or the amount you can claim, please contact your financial adviser.

Please turn over for **TRUSTEE AND POLICY ISSUER DETAILS, SPECIFIC CONDITIONS OF ROLLOVER/TRANSFER** and to complete the **REQUEST AND RELEASE** of funds.

Internal rollover/transfer request

4 Trustee and policy issuer details

N.M. Superannuation Pty Ltd ABN 31 008 428 322 AFS Licence No. 234654 is the Trustee of the National Mutual Simple Superannuation Fund, ABN 11 511 207 625, National Mutual Tailored Superannuation Fund, ABN 24 009 837 366, Advisers Superannuation Fund, ABN 19 905 651 013, Super Directions Fund (Business, Personal and Allocated Pension), ABN 78 421 957 449, National Mutual Retirement Fund (Guaranteed Pension Plan, Flexipol Personal Super, Goldline Personal Super Plan, Provider Top Up Retirement Plan, Provider Personal Retirement Plan, Retirement Security Plan, Retirement Bond, Personal Super Bond, Super Accelerator, Conventional Superannuation, Flexible Income Plan, Flexible Pension Plan), ABN 76 746 741 299 and Summit Master Trust Personal Superannuation and Pension Fund (Personal Superannuation Plan, Personal Pension Plan, Employer Sponsored Superannuation Plan), ABN 74 759 764 217.

The National Mutual Life Association of Australasia Limited ABN 72 004 020 437 is the policy issuer of AXA Rollover products (SuperGuard products, FutureGuard and Fixed Rate Rollover Plan), the Guaranteed Term Annuity and Guaranteed Lifetime Annuity.

5 Specific conditions of rollover/transfer

Conditions 1 and 2 apply to all products or funds.

- 1 Specific exit fees, charges, penalties, minimums or restrictions may apply for all products. Your financial adviser can provide you with details.
- 2 Some products and funds are closed to new members and will only accept additional funds for existing members. Please discuss your eligibility to roll over to the New Fund/Policy with your financial adviser.

Conditions 3 to 6 apply when the payment is a transfer (ie the member has not ceased employment) and the Old Fund is the National Mutual Simple Superannuation Fund or the National Mutual Tailored Superannuation Fund or the Advisers Superannuation Fund.

- 3 Any monies being transferred that are held in the Security Plus investment portfolio (previously known as the Guaranteed portfolio) will be the lesser of the dollar value of your retirement accumulation and the market value of the underlying investments (your retirement accumulation is the aggregate of your member's accumulation and your employer's accumulation).
- 4 Any monies being transferred that are held in the Guaranteed Plus investment portfolio will be the dollar value of your retirement accumulation.
- 5 Any monies being transferred that are held in any other investment portfolio will be reduced by the costs which AXA consider would have been incurred in the release of the investments in the portfolio at the date of transfer.
- 6 If you are transferring your benefit and are still employed, you will need your employer's approval.

6 Request and release

I request the Trustee/Policy Issuer of the Old Fund/Policy to rollover/transfer the amount requested to the New Fund/Policy.

I acknowledge and agree that the basis for the rollover/transfer includes the conditions described in section 5 above.

If the full amount within my membership is being rolled over/transferred, I request the Trustee/Policy Issuer of the Old Fund/Policy to terminate my membership of that Fund/Policy. Upon rollover/transfer of the full amount I release and discharge the Trustee/Policy Issuer of the Old Fund/Policy from any further liability to me or my executors, administrators or dependants in respect of my participation in the Old Fund/Policy.

I confirm that the terms and conditions of the New Fund/Policy (including the application of establishment fees and early termination penalties, if any) have been fully explained to me and that I have made/propose to make a formal application for membership of the New Fund/Policy.

I consent to you speaking with my financial adviser.

I have provided a copy of my driver licence/passport.

Signature of member

Date

Name of financial adviser

Please refer to 'Notes to the External Rollover/Transfer form' section on the 'External rollover/transfer request' form which are equally applicable to this form.

Notes to the Internal Rollover/Transfer form

Transfer to self managed superannuation funds

You may use this form to transfer your benefits to your own self managed superannuation fund (SMSF).

You should be aware that SMSFs are subject to the same rules and restrictions as other funds, when benefits are to be paid out. In particular, superannuation benefits in a SMSF are required to be 'preserved', meaning they are not generally able to be accessed until you aged 55 or over and retired.

The trustee of your FROM fund may be able to request further information from you about your status as a trustee, or a director of a corporate trustee of your SMSF, if there are multiple transfer requests to your SMSF. Penalties may apply for providing false or misleading information.